



FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEINFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several sheets if necessary)

ATTORNEY DOCKET:

SERIAL NO.

MVA1001USC2

10/051,565

APPLICANTS

Rudy Mazzocchi et al.

FILING DATE

GROUP

January 18, 2002

3731

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>VB</i>	5	0	7	1	4	0	7	12/10/91	Termin et al.			
<i>VB</i>	5	0	9	8	4	4	1	03/24/92	Wechler			
<i>VB</i>	5	3	2	9	9	4	2	07/19/94	Gunther et al.			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
<i>VB</i>	EP 0 391 384 B1	10/10/90	EPO				
<i>VB</i>	JP 44 20599	09/04/69	Japan				
<i>VB</i>	JP 54 150 888	11/27/79	Japan				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>VB</i>			International Search Report for Counterpart Canadian Application No. 2,194,671 (1 page).
<i>VB</i>			Partial English language translation of JP 44 20599 (1 page).

EXAMINER

DATE CONSIDERED

6/25/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sh et 1 of 1

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. MVA1001USC2	SERIAL NO. 10/051,565
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Rudy Mazzocchi et al.	
(Use several sheets if necessary)		FILING DATE January 18, 2002	GROUP 3736

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
VB	FR 2,500,756	Sept. 3, 1982	France			X

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

VB		Search Report for Counterpart European Application EP 0 207 6318
VB		English Language Abstract for FR 2,500,756

EXAMINER

DATE CONSIDERED

06/25/2004

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(Use several sheets if necessary)

MVA1001USC2

APPLICANTS

Rudy Mazzocchi, et al.

FILING DATE

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GROUP

3731

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10/051565

01/16/02

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
VB	2	2	8	1	4	4	8	04/1942	Mathey			
	3	3	3	4	6	2	9	08/1967	Cohn			
	3	5	4	0	4	3	1	11/1970	Mobin-Uddin			
	3	8	6	8	9	5	6	03/1975	Alfidi et al.			
	3	9	5	2	7	4	7	04/1976	Kimmel, Jr.			
	3	9	9	6	9	3	8	12/1976	Clark, III			
	4	4	2	5	9	0	8	01/1984	Simon			
	4	4	9	4	5	3	1	01/1985	Gianturco			
	4	5	0	3	5	6	9	03/1985	Dotter			
VB	4	5	5	3	5	4	5	11/1985	Maass et al.			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
VB	0 380 666	04/89	Europe				
	0 547 530	06/93	Europe	Not in the file			
VB	2 020 557	11/79	GB				
VB	2 527 301	11/83	France				
	2 641 692	07/90	France	Not in the file			
	93/10714	06/93	WIPO	Not in the file			
VB	94/05372	03/94	PCT				
	DD 233 303	02/86	East Germany	Not in the file			
VB	WO 96/01591	01/25/96	PCT				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Castaneda, et al., Migration of a Kimray-Greenfield Filter to the Right Ventricle, <u>Radiology</u> , December 1983, pp. 687-690
		Allison, et al., article entitled: Therapeutic Embolization, <u>British Journal of Hospital Medicine</u> , December 1978
		Palestrant, et al. article entitled: Comparative <i>In Vitro</i> Evaluation of the Nitinol Inferior Vena Cava Filter, pp. 351-355
		Duerig, et al., Superelastic Nitinol for Medical Devices, <u>Medical Plastics and Biomaterials</u> , March/April 1997, pp. 30-43

EXAMINER

*J. M. M.*

DATE CONSIDERED

6/15/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 809; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANTS Rudy Mazzocchi, et al.	
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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
VB	4	5	7	2	1	8	6	02/1986	Gould et al.			
	4	6	1	9	2	4	6	10/1986	Molgaard-Nielsen et al.			
	4	6	4	3	1	8	4	02/1987	Mobin-Uddin			
	4	6	4	9	9	2	2	03/1987	Wiktor			
	4	6	5	0	4	6	6	03/1987	Luther			
	4	6	5	5	7	7	1	04/1987	Wallsten			
	4	6	5	5	7	7	2	04/1998	De Liotta et al.			
	4	6	6	5	9	0	6	05/1987	Jervis			
	4	6	8	1	1	1	0	07/1987	Wiktor			
	4	6	9	0	6	8	4	09/1987	McGreevy et al.			
	4	6	9	9	6	1	1	10/1987	Bowden			
	4	7	2	3	5	4	9	02/1988	Wholey et al.			
	4	7	2	7	8	7	3	03/1988	Mobin-Uddin			
	4	7	3	2	1	5	2	03/1988	Wallsten et al.			
	4	7	3	3	6	6	5	03/1988	Palmaz			
VB	4	7	9	0	8	1	2	12/1988	Hawkins, Jr. et al.			

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Dotter et al., "Transluminal Expandable Nitinol Coil Stent Grafting: Preliminary Report", <u>Radiology</u> 147:259-260, 4/83
		Schatz, et al., "Balloon-expandable intracoronary stents in the adult dog", <u>Circulation</u> , Vol. 76, No. 2, 8/87:450-457
		Palmaz, et al. "Atherosclerotic Rabbit Aortas: Expandable Intraluminal Grafting", <u>Radiology</u> 1986; 160:723-726
		Rosseau et al., "Self-expanding Endovascular Prosthesis: An Experimental Study", <u>Radiology</u> 1987; 164:709-714
		Duprat, Jr. et al., "Self-expanding Metallic Stents for Small Vessels: An Experimental Study", <u>Radiology</u> 1987; 162:469-472
		Sweden Now, "When hope is all in vein", 1988

EXAMINER	DATE CONSIDERED
<i>[Signature]</i>	6/25/04

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANTS Rudy Mazzocchi, et al.	
(Use several sheets if necessary)		FILING DATE January 18, 2002	GROUP 3731

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
VB	4	7	9	3	3	4	8	12/1988	Palmaz			
	4	7	9	4	9	2	8	01/1989	Kletschka			
	4	8	0	0	8	8	2	01/1989	Gianturco			
	4	8	1	7	6	0	0	04/1989	Herms et al.			
	4	8	3	0	0	0	3	05/1989	Wolff et al.			
	4	8	4	8	3	4	3	07/1989	Wallsten et al.			
	4	8	5	6	5	1	6	08/1989	Hillstead			
	4	8	7	3	9	7	8	10/1989	Ginsburg			
	4	8	8	6	0	6	2	12/1989	Wiktor			
	4	9	2	1	4	8	4	05/1990	Hillstead			
	4	9	2	6	8	5	8	05/1990	Gifford, III et al.			
	4	9	3	5	0	6	8	06/1990	Duerig			
	4	9	6	9	8	9	1	11/1990	Gewertz			
	4	9	8	4	5	8	1	01/1991	Stice			
	4	9	9	0	1	5	6	02/1991	Lefebvre			
	4	9	9	1	6	0	2	02/1991	Amplatz et al.			
	4	9	9	8	5	3	9	03/1991	Delsanti			
	5	0	0	2	5	6	0	03/1991	Machold et al.			
	5	0	1	6	8	0	8	05/1991	Heil, Jr. et al.			
	5	0	2	6	3	7	7	06/1991	Burton et al.			
	5	0	3	4	0	0	1	07/1991	Garrison et al.			
	5	0	4	1	0	9	3	08/1991	Chu			
	5	0	5	3	0	0	8	10/1991	Bajaj			
VB	5	0	5	9	2	0	5	10/1991	El-Nounou et al.			

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Dotter, "Transluminally-placed Coilspring Endarterial Tube Grafts, Long-term Patency in Canine Popliteal Artery", <u>Investigative Radiology</u> , Vol. 4, Sept.-Oct., 1969:329-332
EXAMINER	<i>[Signature]</i>	DATE CONSIDERED <i>6/25/94</i>

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EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>VB</i>	5	0	6	4	4	3	5	11/12/91	Porter			
	5	0	6	7	4	8	9	11/1991	Lind			
	5	0	6	7	9	5	7	11/26/91	Jervis			
	5	0	9	8	7	7	6	03/1992	Kobayashi et al.			
	5	1	0	8	4	1	8	04/1992	Lefebvre			
	5	1	0	8	4	1	9	04/1992	Reger et al.			
	5	1	3	3	7	3	3	07/1992	Rasmussen et al.			
	5	1	5	2	7	7	7	10/1992	Goldberg et al.			
	5	1	9	0	5	4	6	03/02/93	Jervis			
	5	1	9	2	3	0	1	03/09/93	Kamiya et al.			
	5	2	2	1	2	6	1	06/1993	Termin et al.			
	5	2	4	6	4	4	5	09/21/93	Yachia et al.			
	5	2	5	6	1	4	6	10/1993	Ensminger et al.			
	5	3	3	2	2	5	9	01/1995	Phillips et al.			
	5	3	3	4	2	1	7	08/02/94	Das			
	5	3	5	4	3	1	0	10/1994	Garnic et al.			
	5	3	8	3	8	9	2	01/1995	Cardon et al.			
	5	4	1	7	7	0	8	05/1995	Hall et al.			
	5	4	2	1	9	5	5	06/1995	Lau et al.			
	5	5	1	4	1	1	5	05/1996	Frantzen et al.			
	5	5	2	7	3	3	8	06/18/96	Purdy			
	5	5	9	7	3	7	8	01/28/97	Jervis			
<i>VB</i>	5	6	6	2	6	7	1	09/1997	Barbut et al.			
	5	6	9	0	6	7	1	11/25/97	McGurk et al.			

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VB	4	8	3	2	0	5	5	05/23/89	Palestrant			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
VB	DE 28 21 048 B1	11/08/79	Germany				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Search Report for counterpart International Application (PCT/US95/08613).
EXAMINER		DATE CONSIDERED 6/25/04
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